



Quarterly Report on Agency Services to Floridians with Developmental Disabilities and Their Costs

First Quarter Fiscal Year 2016-17
(July, August, September)

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The Agency for Persons with Disabilities (APD) administers Medicaid waivers providing supports to 32,500 individuals with developmental disabilities across Florida enabling them to live, learn and work in their communities. APD also provides limited services to over 20,000 individuals on the Waiting List for waiver services. These individuals have autism, intellectual disability, spina bifida, cerebral palsy, Down syndrome, Prader-Willi syndrome, Phelan-McDermid syndrome, or are children ages 3 to 5 who are at high risk of being diagnosed with a developmental disability

To meet the needs of the diverse population it serves, APD offers a wide array of services for individuals who are living in their own homes, family homes, or in licensed group facilities. Some of the 27 services currently provided by the agency include life skills development (companion services, adult day training, and employment services), occupational therapy, behavior analysis, adaptive and medical equipment, residential habilitation and physical therapy.

For the period of July through September 2016, over 1,200 individuals on the Waiting List for waiver services received limited services funded from General Revenue and the Social Services Block Grant (SSBG) through the agency, and over 10,500 Medicaid eligible individuals received some state services through the Medicaid State Plan. About 10,000 individuals on the Waiting List for waiver services did not receive any services through APD or the Medicaid State Plan during this period.

Historical Overview

On May 1, 2011, the agency began implementation of the new Developmental Disabilities Home & Community Based Services Individual Budgeting Waiver known as iBudget Florida. The iBudget Florida waiver uses an individual budgeting approach intended to enhance the simplicity, sustainability, and equity of the system while also increasing individuals' opportunities for self-direction. As of July 1, 2013, APD completed the transition of waiver clients to the iBudget Florida waiver statewide from the Tier Waiver system that had been in place since 2008.

Please share with us any comments or suggestions you have regarding this report. APD's Chief of Staff, Karen Hagan, may be reached at 850-414-8916.

Glossary of Terms Used in Report

APD - Agency for Persons with Disabilities

CDC+ Program - Consumer-Directed Care Plus Program

iBudget Waiver - Developmental Disabilities Individual Budgeting Home & Community Based Services Waiver

IFS - Individual and Family Supports

This report is prepared and distributed pursuant to section 393.0661(9), Florida Statutes.

“The Agency for Persons with Disabilities shall submit quarterly status reports to the Executive Office of the Governor, the chair of the Senate Ways and Means Committee or its successor, and the chair of the House Fiscal Council or its successor regarding the financial status of home and community-based services, including the number of enrolled individuals who are receiving services through one or more programs; the number of individuals who have requested services who are not enrolled but who are receiving services through one or more programs, with a description indicating the programs from which the individual is receiving services; the number of individuals who have refused an offer of services but who choose to remain on the list of individuals waiting for services; the number of individuals who have requested services but who are receiving no services; a frequency distribution indicating the length of time individuals have been waiting for services; and information concerning the actual and projected costs compared to the amount of the appropriation available to the program and any projected surpluses or deficits...”

1. Services Received by Waiver Enrollees

Tables 1a, 1b, 1c and 1d provide information on services received by persons enrolled in APD waivers.

Table 1a: Waiver Enrollment and Payments *

Table 1a: Waiver Enrollment and Payments

Month	iBudget CDC		iBudget		All Waivers	
	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments
Jul-16	2,616	\$7,028,878	30,195	\$68,137,726	32,811	\$75,166,604.52
Aug-16	2,640	\$7,386,430	30,228	\$105,122,205	32,868	\$112,508,635.03
Sep-16	2,665	\$7,200,448	30,383	\$67,514,856	33,048	\$74,715,304.27

* Since waiver payments are reported in this table by month of payment rather than by month of service, clients may show claims payments simultaneously under multiple waivers.

**As of the first day of the month.

Source: Allocation, Budget, and Contracts (ABC) Database and Medicaid HP Data Warehouse as of November 1, 2016.

Table 1b summarizes types of services received by waiver enrollees. In addition to iBudget Florida waiver, individuals may receive services through the Consumer-Directed Care Plus (CDC+) Program, offered as an option under the Medicaid State Plan. The CDC+ Program offers comparable services to the waiver, but it allows greater flexibility and more choice in client selection of providers and services. Table 1b also includes two types of services funded by APD that are not part of Medicaid: Individual and Family Supports (IFS) and Room and Board. The former, paid from General Revenue and the Social Services Block Grant, comprises services to persons not eligible for waiver services, services to persons waiting for waiver enrollment, and services to persons enrolled in a waiver for which the service is not a waiver service. Room and Board, paid entirely from General Revenue, provides payment to licensed residential providers for individuals to cover the basic costs of room and board and supervision.

Table 1b: Types of Services Received by Waiver-Enrolled Clients

Service Month	Client Counts by Service Category for Billed Services				
	iBudget CDC	iBudget	IFS	Room\Board	Client Total*
Jul-16	2,595	30,426	333	524	32,811
Aug-16	2,619	31,068	374	489	32,868
Sep-16	2,651	30,423	411	407	33,048

*Clients are counted only once regardless of the number of different services they received. Based on historical payment patterns, waiver, IFS and Room & Board services are undercounted due to the anticipated unsubmitted claims for the reported service months as of the database effective date.

Source: ABC Database and Medicaid HP Data Warehouse as of November 1, 2016.

1. *Services Received by Waiver Enrollees (continued)*

In addition to the services cited above, many waiver enrollees receive Medicaid State Plan services. Table 1c summarizes the number and percent of waiver enrollees who use these services.

Table 1c: Clients Using Medicaid State Plan Services
by Month of Service

Service Month	Total Waiver Enrollment	Medicaid State Plan	
		#	%
Jul-16	32,811	17,436	53.14%
Aug-16	32,868	17,616	53.60%
Sep-16	33,048	16,623	50.30%

Note: Enrolled as of the first day of the month in which the services were received.

Source: ABC Database and Medicaid HP Data Warehouse as of November 1, 2016.

Table 1d lists the number of clients using individual waiver services. Because clients typically use multiple services, the client total at the bottom of the table is an unduplicated count.

Table 1d: Clients Using Individual Waiver Services
by Month of Service

Service Description	iBudget		
	Jul-16	Aug-16	Sep-16
Adult Dental Services	948	1,252	1,232
Behavior Analysis - Level 1	1,035	1,003	1,041
Behavior Analysis - Level 2	717	722	722
Behavior Analysis - Level 3	1,946	1,914	1,957
Behavior Analysis Assessment	48	63	76
Behavior Assistant Services	234	226	238
CDC Monthly Allowance	2,595	2,619	2,531
Consumable Medical Supplies	3,551	4,557	4,579
Dietitian Services	64	67	68
Durable Medical Equipment	62	73	54
Environmental Accessibility Adaptations	30	32	48
Environmental Accessibility Adaptations -- Assessment	0	0	0
Incontinence Supplies; All Types	3,585	4,763	6,258
Life Skills Development - Level 1 (Companion)	3,288	3,407	3,311
Life Skills Development - Level 2 (Supported Empl - Group)	22	24	25
Life Skills Development - Level 2 (Supported Empl - Individual)	1,350	1,401	1,428
Life Skills Development - Level 3 (ADT) - Facility Based	10,687	11,300	11,063
Life Skills Development - Level 3 (ADT) - Off Site	120	125	127

1. Services Received by Waiver Enrollees (continued)

Table 1d: Clients Using Individual Waiver Services (continued)

Service Description	iBudget		
	Jul-16	Aug-16	Sep-16
Occupational Therapy	434	435	464
Occupational Therapy - Assessment	3	3	5
Personal Emergency Response System - Installation	4		1
Personal Emergency Response System - Service	119	132	129
Personal Supports	10,344	10,731	10,498
Physical Therapy	873	897	891
Physical Therapy - Assessment	13	7	13
Private Duty Nursing	237	245	244
Residential Habilitation - Assisted Living Facility (month)	324	390	381
Residential Habilitation - Behavioral Focus (day)	48	72	69
Residential Habilitation - Intensive Behavior (day)	406	415	391
Residential Habilitation - Intensive Behavior CTEP (day)	154	158	157
Residential Habilitation - Standard (day)	246	261	243
Residential Habilitation (month)	5,019	7,294	7,138
Residential or Skilled Nursing - LPN	108	114	109
Residential or Skilled Nursing - RN	34	32	38
Respiratory Therapy	40	43	39
Respiratory Therapy - Assessment	1	0	0
Respite (under 21 only)	1,612	1,679	1,653
Respite, Skilled	7	6	8
Special Medical Home Care	19	20	19
Specialized Mental Health Assessment	1	6	6
Specialized Mental Health Counseling	168	200	197
Speech Therapy	442	499	457
Speech Therapy - Assessment	4	7	1
Support Coordination	22,077	24,088	23,858
Support Coordination - CDC Consultant	1,700	1,869	1,846
Support Coordination (Enhanced)	14	18	20
Support Coordination (Limited)	2,313	2,479	2,524
Support Coordination (Limited) - CDC	346	375	388
Supported Living Coaching	3,379	3,606	3,490
Transportation - mile	62	70	81
Transportation - month	1,122	1,138	1,140
Transportation - trip	6,226	6,910	6,711
Unduplicated Client Count	30,922	31,432	31,192

Note: Based on historical payment patterns waiver services are incomplete due to anticipated unsubmitted claims.
Source: Medicaid HP Data Warehouse as of November 1, 2016.

2. Services Received by Persons on the Waiting List

Table 2a lists APD services received in July, August, and September 2016 by individuals who requested enrollment in a waiver but were not enrolled as of the first day of the respective months. Funding for these services came from General Revenue and the Social Services Block Grant. Individuals on the Waiting List ages 21 or younger may also receive services from the Florida Department of Education, Medicaid State Plan and other state and local resources.

Table 2a: Client Counts of Services Provided by APD to Clients Waiting for Waiver Services as of July 1, August 1, and September 1, 2016

	Service Month		
	Jul-16	Aug-16	Sep-16
Total Wait List at Beginning of Month*	20,486	20,578	20,543
Paid Service			
ADULT DAY TRAINING	291	239	277
BEHAVIOR ANALYSIS	11	7	5
COMMUNITY BASED EMPLOYMENT	99	77	79
DENTAL SERVICES			
ELIGIBILITY AND PLANNING	2	2	2
EMPLOYMENT ENHANCEMENT PROJECT	312	331	295
HOME ASSISTANCE	17	17	15
LONG-TERM RESIDENTIAL SVS	23	24	23
MEDICAL SERVICES	1	1	1
PERSONAL/FAMILY CARE SVS	23	24	21
OCCUPATIONAL THERAPY			
PHYSICAL THERAPY	1		
PSYCHOLOGICAL THERAPY	53	53	57
RECREATIONAL THERAPY			
RESIDENTIAL HABILITATION SVS	22	20	21
RESPIRE CARE	39	47	32
SPEECH THERAPY			
SUPPLIES/EQUIPMENT	20	21	25
SUPPORT COORDINATION	324	330	336
SUPPORTED LIVING	22	22	19
TRANSPORTATION	123	81	125
PRESUPPORTED TRANSITIONAL LIVING	33	36	31
Unduplicated Client Total	1,171	1,128	1,132

Source: Waiting List and ABC Databases as of November 1, 2016.

Table 2b provides client counts of persons on the Waiting List who received APD services (see Table 2a) or Medicaid State Plan services. The APD services are provided with state General Revenue and Social Services Block Grant funds. Because some clients received both APD and Medicaid State Plan services, the client count in the fourth row is an unduplicated total rather than a sum of the two prior rows. The last two rows in the table provide information on Waiting List clients who received neither APD services nor Medicaid State Plan services. Note that some Waiting List clients are not currently eligible for Medicaid State Plan services.

Table 2b: Client Counts of Non-Medicaid and Medicaid State Plan Services Received by Clients Waiting for Services as of July 1, August 1, and September 1, 2016*

Row		Service Month		
		Jul-16	Aug-16	Sep-16
1	Total Waiting List at Beginning of Month*	20,486	20,578	20,543
2	Client Count for APD Non-Medicaid Services	1,171	1,128	1,132
3	Client Count for Medicaid State Plan Medical, Facility, and Pharmacy Services***	9,511	9,739	9,493
4	All Waiting List Clients Receiving Services**	10,372	10,452	10,206
5	Count of Waiting List Clients Not Receiving Services	10,114	10,126	10,337
6	Percent of Waiting List Not Receiving Services	49.37%	49.21%	50.32%

*Clients are counted only once regardless of the number of different services they received.

** Unduplicated count for the clients receiving Medicaid services or APD services or both.

***Based on historical payment patterns, Medicaid State Plan services may be undercounted due to unsubmitted claims for the reported service months as of the effective date.

Source: Waiting List, ABC Databases and Medicaid HP Data Warehouse as of November 1, 2016.

3. Waiver Enrollment in Fiscal Year 2016-17

Table 3 summarizes new waiver enrollments for FY 2016-17. Crisis cases are enrollees whose needs for services have been determined to require priority enrollment as defined in statute and rule. CBC Children are children on the Waiting List for iBudget Waiver who have open cases in the Department of Children and Families' child welfare system. Beginning in FY 2013-14, the agency received additional appropriation to begin offering enrollment to individuals from the Waiting List as specified in proviso.

Table 3: New Waiver Enrollment

Month	Crisis Enrolled	Waitlist Offered & Enrolled	CBC Kids Enrolled	Total Enrolled
Jul-14	54	94	5	153
Aug-14	41	649	4	694
Sep-14	57	37	2	96
Oct-14	61	43	3	107
Nov-14	61	16	3	80
Dec-14	53	10	7	70
Jan-15	31	20	1	52
Feb-15	47	14	8	69
Mar-15	53	11	4	68
Apr-15	84	0	9	93
May-15	76	0	5	81
Jun-15	55	11	4	70
Jul-15	91	16	16	123
Aug-15	71	6	8	85
Sep-15	101	11	18	130
Oct-15	105	10	16	131
Nov-15	87	35	9	131
Dec-15	57	644	7	708
Jan-16	51	20	5	76
Feb-16	50	21	4	75
Mar-16	58	21	11	90
Apr-16	74	118	4	196
May-16	90	77	16	183
Jun-16	73	69	13	155
Jul-16	65	23	8	96
Aug-16	71	183	9	263
Sep-16	68	161	11	240
Total	1,785	2,320	210	4,315

Source: APD Database as of November 1, 2016 and other ABC tracking systems.

4. Length of Wait for Waiver Services

Table 4 displays a frequency distribution of the length of time individuals have been waiting for waiver services. Agency policy allows people to remain on the Waiting List if they currently do not need services or do not qualify for Medicaid at the time. These counts include individuals who declined waiver enrollment offers and those who received other state assistance.

Table 4: Length of Wait for Any Waiver Services
as of November 1, 2016

Length of Wait	Date Placed on Wait List	Wait List Clients	
		#	%
1 Year or Less	November 1, 2015 or later	1,893	9.3
1+ to 2 Years	November 1, 2014 - October 31, 2015	1,575	7.7
2+ to 3 Years	November 1, 2013 - October 31, 2014	1,262	6.2
3+ to 4 Years	November 1, 2012 - October 31, 2013	1,061	5.2
4+ to 5 Years	November 1, 2011 - October 31, 2012	1,269	6.2
5+ to 6 Years	November 1, 2010 - October 31, 2011	1,325	6.5
6+ to 7 Years	November 1, 2009 - October 31, 2010	1,394	6.8
7+ to 8 Years	November 1, 2008 - October 31, 2009	1,490	7.3
8+ to 9 Years	November 1, 2007 - October 31, 2008	1,429	7.0
9+ to 10 Years	November 1, 2006 - October 31, 2007	1,563	7.6
More than 10 Years	On or before October 31, 2006	6,178	30.2
Total Wait List*		20,439	100.0

Source: Waiting List Database as of November 1, 2016.

5. Projected Waiver Costs and Appropriations

Table 5 provides information concerning projected waiver costs compared to the available appropriations and any projected surpluses or deficits.

Table 5: Fiscal Year 2016-17 Waiver Budget Forecast

FY 2016-17 APD WAIVER PROJECTIONS	General Revenue	Trust Funds	Total
Blended rate adopted by the SSEC for FY 2016-17	0.3901	0.6099	
Appropriation	\$ 427,800,911	\$ 669,405,836	\$ 1,097,206,747
Corrected FMAP Adjustment			\$ -
New Appropriation	\$ 427,800,911	\$ 669,405,836	\$ 1,097,206,747
Less FY 2015-16 Projected Deficit	\$ (392,622)	\$ (600,352)	\$ (992,974)
Less FY 2016-17 Estimated Expenditures	\$ (427,408,289)	\$ (668,805,484)	\$ (1,096,213,773)
Total APD Waiver Balance FY 2016-17	\$ -	\$ -	\$ -

Per section 40, Chapter 2015-232, Laws of Florida, the unexpended balance of the HCBS Waiver category is reverted and appropriated for FY 2015-16 in the Lump Sum – Home and Community Based Services Waiver category (092003). This reversion was posted before the June 30, 2015 invoice was paid and before a certified forward payable was established. As a result, the June 2015 invoice and all subsequent invoices for FY 2014-15 were paid from FY 2015-16 current year funds.